

## Course of June 7

Proof of Theorem 5.

Recall what we have to prove:

We are given  $\mathcal{M} = (M, \Gamma_M, k_M)$  and  $\mathcal{N} = (N, \Gamma_N, k_N)$  two  $\aleph_1$ -saturated models of  $T_{0,0}$ ,  $\mathcal{A} \subset \mathcal{M}$ , and  $\mathcal{B} \subset \mathcal{N}$  two countable substructures, and an  $\mathcal{L}_{\text{Pas}}$ -isomorphism  $f : \mathcal{A} \rightarrow \mathcal{B}$  which respects the formulas of  $\Delta$ , then for  $a \in M$ , there is an  $\mathcal{L}_{\text{Pas}}$ -isomorphism  $g$  which extends  $f$ , has domain containing  $a$ , and image contained in  $\mathcal{N}$ , and which respects the formulas in  $\Delta$ .

The partial isomorphism  $f$  between the substructure  $\mathcal{A} = (A, \Gamma_A, k_A)$  of  $\mathcal{M}$  and the substructure  $\text{calb} = (B, \Gamma_B, k_B)$  of  $\mathcal{N}$ , means a triple  $(f, f_\Gamma, \bar{f})$  of isomorphisms  $f : A \rightarrow B$ ,  $f_\Gamma : \Gamma_A \rightarrow \Gamma_B$  and  $\bar{f} : k_A \rightarrow k_B$  with  $f_\Gamma$  and  $\bar{f}$  elementary, i.e., if  $\alpha$  is a tuple in  $\Gamma_A$  and  $\varphi$  a formula of the language of VG, then

$$\Gamma_M \models \varphi(\alpha) \iff \Gamma_N \models \varphi(f_\Gamma(\alpha)),$$

and similarly for  $k_A, k_M$ . We let  $\mathcal{C} = (C, \Gamma_C, k_C)$  be a countable elementary substructure of  $\mathcal{M}$  which contains  $\mathcal{A}$  and  $a$ . We will extend  $f$  to  $\mathcal{C}$ . The proof consists of several steps.

**Step 0.** We may assume that  $A$  and  $k_A$  are fields, and  $\Gamma_A$  is a subgroup of  $\Gamma_C$ .

The map  $f$  extends uniquely to  $\text{Frac}(A)$ ,  $f_\Gamma$  extends uniquely to the subgroup generated by  $\Gamma_A$ , which equals  $\Gamma_A - \Gamma_A$ , and  $\bar{f}$  extends uniquely to  $\text{Frac}(k_A)$ . A few minutes of thought show that this induces an  $\mathcal{L}_{\text{Pas}}$ -isomorphism, and that the new  $f_\Gamma$  and  $\bar{f}$  are indeed elementary.

**Step 1.** We may assume  $k_A = k_C$ .

We use the following facts: the map  $\bar{f}$  is elementary;  $\mathcal{N}$ , whence  $k_N$ , are  $\aleph_1$ -saturated;  $k_C$  is countable. Hence  $\bar{f}$  extends to an elementary map  $k_C \rightarrow k_N$ , which I will also denote  $\bar{f}$ .

**Step 2** We may assume  $\Gamma_A = \Gamma_C$ .

Same reasoning as in step 2.

**Step 3.** We may extend  $f$  to the henselization  $A^h$  of  $A$ .

Because  $N$  is Henselian, and the henselization of a valued field is unique up to isomorphism, we know that  $f$  extends to an embedding of valued fields  $A^h \rightarrow N$ . To check that it respects  $\underline{\text{ac}}$ , use the fact that  $A^h/A$  is immediate, and therefore, given  $a \in A^h$  there is  $b \in A$  such that  $v(a - b) > v(a) = v(b)$ , whence  $\underline{\text{ac}}(a) = \underline{\text{ac}}(b)$ .

**Step 4.** Extend  $f$  to a subfield  $D$  of  $C$  such that  $\mathcal{O}_D$  has residue field  $k_C$ .

The proof is adding one element at a time. Let  $k'_A$  denote the residue field of  $A$ . Let  $\bar{a} \in k_C \setminus k'_A$ ; if  $\bar{a}$  is transcendental over  $k'_A$ , then take any  $a \in C$  with  $\text{res}(a) = \bar{a}$ , let  $b \in N$  be such that  $\text{res}(b) = \bar{f}(\bar{a})$ , and extend  $f$  by sending  $a$  to  $b$ . Because the monomials  $a^i, i \in \mathbb{N}$ , are  $k'_A$ -linearly independent, and the monomials  $b^i, i \in \mathbb{N}$  are  $k'_B$ -linearly independent, we know that  $\bar{f}$  respects the valuation.

If  $\bar{a}$  is algebraic over  $k'_A$ , lift its minimal monic polynomial to some  $P(T) \in \mathcal{O}_A[T]$  of the same degree, and find  $a \in A$  with  $P(a) = 0$ ,  $\text{res}(a) = \bar{a}$ . Send it to some  $b \in \mathcal{O}_N$ , root of  $f(P)(T) = 0$  satisfying  $\text{res}(b) = \bar{f}(\bar{a})$ . Reason as above to deduce that it is an isomorphism of valued field.

**Step 5.** Extend  $f$  to a subfield  $E$  of  $C$  such that  $v(E^\times) = \Gamma_C$ .

Do this one at a time. Let  $\alpha \in \Gamma_C, \notin \Gamma'_A = v(A^\times)$ . Again, using the Lemma below and the fact that  $k'_A = k_C$  by step 4, we may assume that  $\alpha = v(a)$  for some  $a \in C$  with  $\underline{\text{ac}}(a) = 1$ ; furthermore, if  $n\alpha \in \Gamma'_A$  for some  $n > 0$ , we may assume that  $a^n \in A$ . Send  $a$  to some  $b \in N$  with the same properties, and with  $w(\beta) = f_\Gamma(\alpha)$ .

**Step 6.** We extend  $f$  to all of  $C$ .

We know that  $C/A$  is immediate. We first use step 2 to extend  $f$  to  $A^h$ . Then we do this step by step: add an element, go to the henselization, etc.

Let  $a \in C \setminus A$ . Consider  $I = \{v(a - a') \mid a' \in A\}$ . Then, reason as in the proof of the quantifier elimination for ACVF in  $\mathcal{L}_{div}$ : the set  $I$  is an initial segment of  $\Gamma_C = v(A^\times)$  (by steps 4 and 5). Again, I need an additional lemma (see below): for any  $P(T) \in A[T]$ , the value  $v(P(a'))$  becomes constant for all  $a'$  such that  $v(a - a') > \alpha_0$ , some  $\alpha_0 \in I$ . Thus, the same is true on the other side: if  $b \in N$  is such that for all  $a' \in A$ ,  $w(b - f(a')) = f_\Gamma(v(a - a'))$ , then the isomorphism  $A(a) \rightarrow B(b)$  which extends  $f$  and sends  $a$  to  $b$ , respects the valuation. As in Step 3, it also respects  $\underline{\text{ac}}$ .

**Lemma 7.** *Let  $(E, v) \subset (F, w)$  be valued field of characteristic 0, with  $F$  Henselian. If the characteristic of the residue field is  $p > 0$ , then assume in addition that the value group of  $F$  has a smallest positive element, 1, which is also the smallest element of  $E$ , and that  $v(p)$  is a finite multiple of 1.*

- (1) *Let  $u \in F$  be such that  $w(u) > w(1)$ . Then there is  $v \in F$  such that  $v^n = (1 + u)$ .*
- (2) *Let  $\gamma \in w(F^\times)$ , and assume that there is some  $n > 0$  such that  $n\gamma \in v(E^\times)$ , and that  $n$  is minimal such. Then there is some  $a \in F$  with  $v(a) = \gamma$  and  $a^n \in E$ .*